

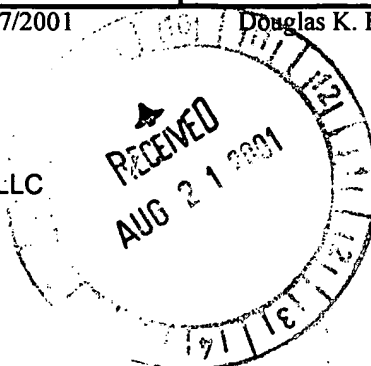


UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/893,029	06/27/2001	Douglas K. Freeman	18276-2

MICHAEL A MANN  
NEXSEN PRUET JACOBS & POLLARD LLC  
PO DRWR 2426  
COLUMBIA, SC 29202-2426



CONFIRMATION NO. 3415  
FORMALITIES LETTER



Date Mailed: 08/16/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

### Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 130.

*A copy of this notice MUST be returned with the reply.*

Customer Service Center  
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

01/29/2002 HTECKLU1 00000074 09893029

01 FC:205

65.00 DP

02/05/2002 HMOHAMM1 00000012 080719 09893029

01 FC:105

65.00 CH

65.00 DP

Adjustment date: 02/05/2002 HMOHAMM1  
01/29/2002 HTECKLU1 00000074 09893029  
01 FC:205 -65.00 DP

**CERTIFICATE OF MAILING BY FIRST CLASS MAIL (37 CFR 1.8)**

Applicant(s): FREEMAN, et al

Docket No.

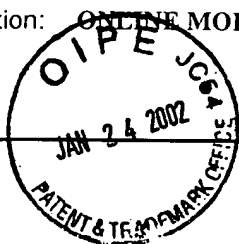
18276-2

Serial No.

Filing Date

Examiner

Group Art Unit

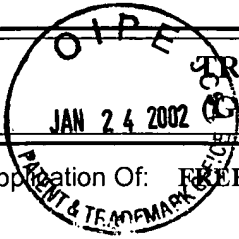
Invention: ~~ONLINE~~ MORTGAGE APPLICATION PROCESSING AND TRACKING SYSTEMI hereby certify that this ASSIGNMENT OF INVENTION AND RELATED DOCUMENTS*(Identify type of correspondence)*

is being deposited with the United States Postal Service as first class mail in an envelope addressed to: The

Commissioner of Patents and Trademarks, Washington, D.C. 20231-0001 on

9/6/00  
*(Date)*CECILIA A FOY*(Typed or Printed Name of Person Mailing Correspondence)**(Signature of Person Mailing Correspondence)*

Note: Each paper must have its own certificate of mailing.



**TRANSMITTAL LETTER**  
(General - Patent Pending)

Docket No.  
18276-2

In Re Application Of: FREEMAN, et al

Serial No.  
60/214,767

Filing Date  
06/27/2000

Examiner

Group Art Unit

Title: **ONLINE MORTGAGE APPLICATION PROCESSING AND TRACKING SYSTEM**

TO THE ASSISTANT COMMISSIONER FOR PATENTS:

Transmitted herewith is:

**NOTICE OF RECORDATION COVER SHEET,  
ASSIGNMENT OF RIGHTS, TITLE, AND INTEREST IN INVENTION,  
CERTIFICATE OF FIRST CLASS MAILING,  
POSTCARD**

in the above identified application.

- ☐ No additional fee is required.
- ☒ A check in the amount of **\$40.00** is attached.
- ☐ The Assistant Commissioner is hereby authorized to charge and credit Deposit Account No. \_\_\_\_\_ as described below. A duplicate copy of this sheet is enclosed.
- ☐ Charge the amount of \_\_\_\_\_
- ☐ Credit any overpayment.
- ☐ Charge any additional fee required.

  
Signature

Dated:

11/9/00

**MICHAEL A MANN**  
REG. NO.: 32,825  
NEXSEN PRUET JACOBS & POLLARD LLP  
PO DRWR 2426  
COLUMBIA SC 29202-2426  
803-253-8282  
mmann@npj.com

I certify that this document and fee is being deposited on 11/9/00 with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

  
Signature of Person Mailing Correspondence

**CECILIA A FOY**

Typed or Printed Name of Person Mailing Correspondence

CC:

**CERTIFICATE OF MAILING BY FIRST CLASS MAIL (37 CFR 1.8)**Applicant(s): **FREEMAN, DOUGLAS K et al**

Docket No.

**18276-2**

Serial No.

**09/893,029**

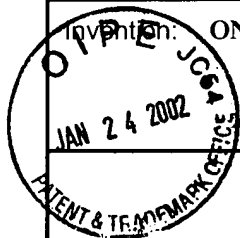
Filing Date

**06/27/2001**

Examiner

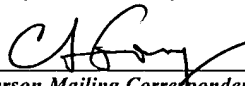
**UNKNOWN**

Group Art Unit

**2165**Invention: **ONLINE MORTGAGE APPLICATION PROCESSING AND TRACKING SYSTEM**I hereby certify that this **DECLARATION & POWER OF ATTORNEY & RELATED DOCUMENTS***(Identify type of correspondence)*

is being deposited with the United States Postal Service as first class mail in an envelope addressed to: The

Assistant Commissioner for Patents, Washington, D.C. 20231 on

1.2/5/01  
*(Date)***CECILIA A FOY***(Typed or Printed Name of Person Mailing Correspondence)*  
*(Signature of Person Mailing Correspondence)***Note: Each paper must have its own certificate of mailing.**



**TRANSMITTAL LETTER**  
**(General - Patent Pending)**

Docket No.  
18276-2

Re Application Of: **FREEMAN, DOUGLAS K et al**

Serial No.  
09/893,029

Filing Date  
06/27/2001

Examiner  
UNKNOWN

Group Art Unit  
2165

Title: **ONLINE MORTGAGE APPLICATION PROCESSING AND TRACKING SYSTEM**

TO THE ASSISTANT COMMISSIONER FOR PATENTS:

Transmitted herewith is:

**RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION WITH EXTENSION REQUEST, PART 2 NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION, DECLARATION AND POWER OF ATTORNEY. PETITION UNDER 37 CFR 1.47, COPIES OF RECORDATIONS REEL/FRA: 011076/0121 AND 011298/0534, REQUEST FOR CORRECTED FILING RECEIPT, MARKED UP COPY OF FILING RECEIPT, CERTIFICATE OF FIRST CLASS MAILING, CHK**

in the above identified application.

- ☐ No additional fee is required.
- ☒ A check in the amount of **\$265.00** is attached.
- ☒ The Assistant Commissioner is hereby authorized to charge and credit Deposit Account No. **080719** as described below. A duplicate copy of this sheet is enclosed.
- ☐ Charge the amount of
- ☐ Credit any overpayment.
- ☒ Charge any additional fee required.

  
Signature

Dated: **12/4/01**

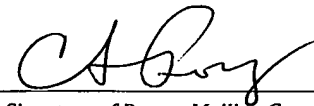
**MICHAEL A MANN**  
**REG. NO. 32,825**  
**NEXSEN PRUET JACOBS & POLLARD LLC**  
**PO DRWR 2426**  
**COLUMBIA SC 29202-2426**  
**(803) 253-8282**  
**MMANN@NPJP.COM**

01/29/2002 HTECKLU1 00000074 09893029

02 FC:216

200.00 OP

I certify that this document and fee is being deposited on **12/5/01** with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.



Signature of Person Mailing Correspondence

**CECILIA A FOY**

Typed or Printed Name of Person Mailing Correspondence

CC:

**Response To Notice To File Missing Parts Of Application  
Filing Date Granted (PTO-1533)(Small Entity)**

Docket No.  
18276-2

In Re Application Of: **FREEMAN, et al**

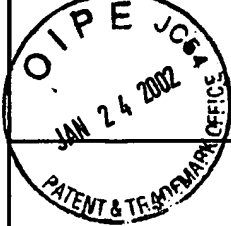
Serial No.  
09/893,029

Filing Date  
06/27/2001

Examiner  
UNKNOWN

Group Art Unit  
2165

Invention: **ONLINE MORTGAGE APPLICATION PROCESSING AND TRACKING SYSTEM**



TO THE ASSISTANT COMMISSIONER FOR PATENTS:

Box Missing Parts

This is a response to the Notice to File Missing Parts of Application - Filing Date Granted (PTO-1533) mailed on  
08/16/2001  
Date

Enclosed herewith for filing are the following:

- ☒ A copy of the Notice to File Missing Parts of Application - Filing Date Granted (PTO-1533). **(REQUIRED)**
- ☒ An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date.
- ☐ A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date.
- ☐ An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date.
- ☐ A verified English translation of the non-English language application papers as originally filed. It is requested that this translation be used as the copy for examination purposes in the United States Patent and Trademark Office.
- ☒ \_\_\_\_\_ verified small entity declaration(s)
  - ☐ is/are attached.
  - ☒ was/were filed on 06/27/2001
- ☐ A separate request for refund.

☒ Other (list):

**PETITION UNDER 37 CFR 1.47,  
REQUEST FOR CORRECTED FILING RECEIPT**

**Response To Notice To File Missing Parts Of Application  
Filing Date Granted (PTO-1533)(Small Entity)**

Docket No.  
18276-2

In Re Application Of: **FREEMAN, et al**

Serial No.  
09/893,029

Filing Date  
06/27/2001

Examiner  
UNKNOWN

Group Art Unit  
2165

Invention: **ONLINE MORTGAGE APPLICATION PROCESSING AND TRACKING SYSTEM**

TO THE ASSISTANT COMMISSIONER FOR PATENTS:

Attention: Manager, Application Branch

☒ Completion of application fees as calculated below:

☐ Utility application filing fee

☐ Design application filing fee

☐ Total number of independent claims =

☐ Total number of claims =

☐ Multiple dependent claims

☒ Surcharge for late payment of filing fee and/or late filing of original declaration or oath **\$65.00**

☐ Petition and fee for filing by other than all the inventors or a person not the inventor

☐ Fee for processing an application filed with a non-English language specification

☐ Fee for processing and retention of application

Total completion of application fees **\$65.00**

This is a request under the provisions of 37 CFR 1.136(a) to extend the period for filing a response to the above-identified Notice to File Missing Parts of Application. The requested extension is as follows (check time period desired). If an additional time extension is required, please consider this a petition therefor.

☐ One month ☒ Two months ☐ Three months ☐ Four months ☐ Five months

from: 10/16/2001 until: 12/16/2001  
Date Date

Total time extension fees **\$200.00**

Total fees due **\$265.00**

**Response To Notice To File Missing Parts Of Application  
Filing Date Granted (PTO-1533) (Small Entity)**

Docket No.  
18276-2

In Re Application Of: **FREEMAN, et al**

Serial No.  
09/893,029

Filing Date  
06/27/2001

Examiner  
UNKNOWN

Group Art Unit  
2165

Invention: **ONLINE MORTGAGE APPLICATION PROCESSING AND TRACKING SYSTEM**

TO THE ASSISTANT COMMISSIONER FOR PATENTS:

Attention: Manager, Application Branch

The fee of **\$265.00** is to be paid as follows:

- ☒ A check in the amount of the fee is enclosed.
- ☒ The Commissioner is hereby authorized to charge any fees which may be required, or credit any overpayment, to Deposit Account No. **080719**  
A duplicate copy of this sheet is enclosed.
- ☒ If an additional extension of time is required, please consider this a petition therefor and charge any additional fees which may be required to Deposit Account No. **080719**  
A duplicate copy of this sheet is enclosed.


  
Signature

Dated: 12/4/01

**MICHAEL A MANN**  
REG. NO.: 32,825  
**NEXSEN PRUET JACOBS & POLLARD LLC**  
PO DRWR 2426  
COLUMBIA SC 29202-2426  
803-253-8282  
mmann@npj.com

CC:

I certify that this document and fee is being deposited on 12/5/01 with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

  
Signature of Person Mailing Correspondence

**CECILIA A FOY**

Typed or Printed Name of Person Mailing Correspondence



✓ Receipt / #  
PATENT  
4

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re: FREEMAN, DOUGLAS K et al      Atty Docket: 18276-2

Serial No.: 09/893,029      Filed: 06/27/2001

For: ONLINE MORTGAGE APPLICATION PROCESSING AND TRACKING  
SYSTEM

REQUEST FOR CORRECTED FILING RECEIPT

Assistant Commissioner of Patents and Trademarks  
Washington, DC 20231

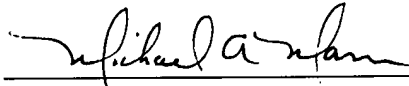
Dear Sir:

I hereby request a corrected filing receipt showing the correction noted below and on  
the enclosed copy:

Applicant number 7 is "Kathleen L. McDivitt of Honolulu, HI" not "Kathleen L.  
McDavitt of Honolulu, HI."

If you should have any questions in regards to this matter, please  
contact me at 803-253-8282.

12/4/01  
Date

  
\_\_\_\_\_  
Michael A. Mann  
Attorney for Applicant  
Reg # 32,825  
Nexsen Pruet Jacobs & Pollard LLC  
PO Drwr 2426  
Columbia, SC 29202-2426  
Phone: 803-253-8282  
mmann@npjp.com



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/893,029	06/27/2001	2165	710	18276-2	7	20	3

CONFIRMATION NO. 3415

## FILING RECEIPT



\*OC00000006432289\*

MICHAEL A MANN  
NEXSEN PRUET JACOBS & POLLARD LLC  
PO DRWR 2426  
COLUMBIA, SC 29202-2426

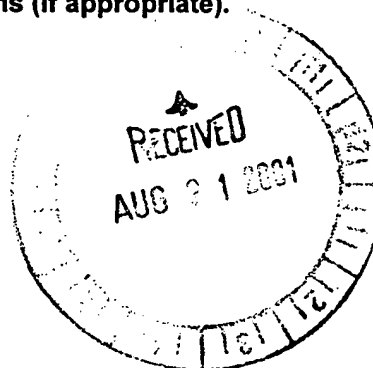
Date Mailed: 08/16/2001

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

## Applicant(s)

Douglas K. Freeman, Jacksonville, FL;  
Gart Davis, Chapel Hill, NC;  
Richard Martin Linn, Portland, OR;  
James Paul Gross, Columbia, SC;  
Chip S. Register, Irmo, SC;  
Rhonda K. Cottingham, Columbia, SC;  
Kathleen L. McDavitt, Honolulu, HI;  
Melinda D. Jones, Irmo, SC;  
Scott A. Buchanan, Salt Lake City, UT;  
Akin Agar, Columbia, SC;

McDivitt



## Domestic Priority data as claimed by applicant

THIS APPLN CLAIMS BENEFIT OF 60/214,767 06/27/2000

## Foreign Applications

If Required, Foreign Filing License Granted 08/15/2001

Projected Publication Date: To Be Determined - pending completion of Missing Parts

Non-Publication Request: No

Early Publication Request: No

**CERTIFICATE OF MAILING BY FIRST CLASS MAIL (37 CFR 1.8)**

Applicant(s): FREEMAN, DOUGLAS K et al

Docket No.

18276-2

Serial No.  
09/893,029Filing Date  
06/27/2001Examiner  
UNKNOWNGroup Art Unit  
2165

Invention: ONLINE MORTGAGE APPLICATION PROCESSING AND TRACKING SYSTEM

I hereby certify that this PETITION UNDER 37 CFR 1.47 AND RELATED DOCUMENTS

(Identify type of correspondence)

is being deposited with the United States Postal Service as first class mail in an envelope addressed to: The

Assistant Commissioner for Patents, Washington, D.C. 20231 on

1-2/5/01  
(Date)CECILIA A FOY

(Typed or Printed Name of Person Mailing Correspondence)

(Signature of Person Mailing Correspondence)

Note: Each paper must have its own certificate of mailing.

**CERTIFICATE OF MAILING BY FIRST CLASS MAIL (37 CFR 1.8)**

Applicant(s): FREEMAN, DOUGLAS K et al

Docket No.

18276-2

Serial No.

09/893,029

Filing Date

06/27/2001

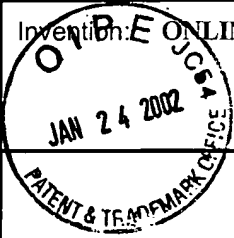
Examiner

UNKNOWN

Group Art Unit

2165

Invention: ONLINE MORTGAGE APPLICATION PROCESSING AND TRACKING SYSTEM

I hereby certify that this **REQUEST FOR CORRECTED FILING RECEIPT & RELATED DOCUMENTS**

(Identify type of correspondence)

is being deposited with the United States Postal Service as first class mail in an envelope addressed to: The

Assistant Commissioner for Patents, Washington, D.C. 20231 on

12/5/01  
(Date)

CECILIA A FOY

(Typed or Printed Name of Person Mailing Correspondence)

(Signature of Person Mailing Correspondence)

Note: Each paper must have its own certificate of mailing.